

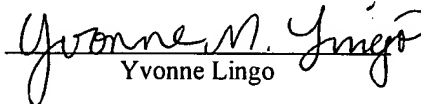
Attorney Docket No. C1041/7005 (AWS)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wagner et al.
Serial No: 09/355,254
Conf. No.: 6183
Filed: February 22, 2000
For: PHARMACEUTICAL COMPOSITIONS COMPRISING A POLYNUCLEOTIDE
AND OPTIONALLY AN ANTIGEN ESPECIALLY FOR VACCINATION
Examiner: J. Zara
Art Unit: 1635

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202, on October 8, 2002.


Yvonne Lingo

U.S. Patent and Trademark Office
Box Sequence
P.O. Box 2327
Arlington, VA 22202

Dear Sirs:

STATEMENT UNDER 37 C.F.R. §§ 1.821(f) AND (g)

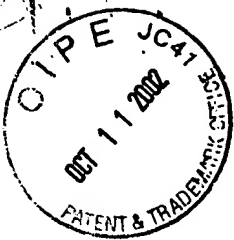
Applicant encloses herewith a substitute computer readable form and paper copy of the sequence listing. The sequence listing information recorded in computer readable form is identical to the paper copy of the sequence listing. The sequence listing contains no new matter.

Respectfully submitted,

By: 

Alan W. Steele, Reg. No. 45,128
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Telephone (617) 720-3500

Docket No.: C1041/7005
Dated: October 8, 2002
XXD: October 20, 2002



App
ERROR
BIOTECHNOLOGY
SYSTEMS
BRANCH



#21/1635
K.T.
7/17
Raw
seq.
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JUL 11 2002

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/355,254

Source: 1600

Date Processed by STIC: 7-3-02

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OCT 16 2002

TECH CENTER 1600/2900

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker>

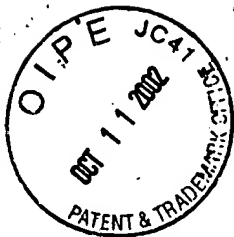
Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:
U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
Or
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002



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Raw Sequence Listing Error Summary

TECH CENTER 1600/2900

ERROR DETECTEDSUGGESTED CORRECTION

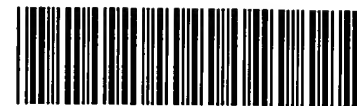
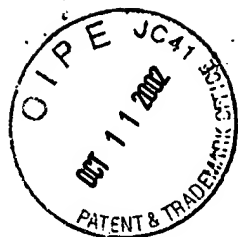
SERIAL NUMBER:

09/355,254

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1 Wrapped Nucleics
Wrapped Aminos The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to 3; this will prevent "wrapping."
- 2 Invalid Line Length The rules require that a line not exceed 72 characters in length. This includes white spaces.
- 3 Misaligned Amino
Numbering The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
- 4 Non-ASCII The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
- 5 Variable Length Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
- 6 PatentIn 2.0
"bug" A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s). Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
- 7 Skipped Sequences
(OLD RULES) Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence:
(2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)
(i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)
This sequence is intentionally skipped

Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
- 8 Skipped Sequences
(NEW RULES) Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence.
<210> sequence id number
<400> sequence id number
000
- 9 Use of n's or Xaa's
(NEW RULES) Use of n's and/or Xaa's have been detected in the Sequence Listing.
Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.
In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
- 10 Invalid <213>
Response Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
- 11 Use of <220> Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses.
Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.
(See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
- 12 PatentIn 2.0
"bug" Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
- 13 Misuse of n n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.



1600

RAW SEQUENCE LISTING

DATE: 07/03/2002

PATENT APPLICATION: US/09/355,254E

TIME: 12:17:28

Input Set : N:\Crf3\Refhold\I355254.raw

Output Set: N:\CRF3\07032002\I355254E.raw

Does Not Comply
Corrected Diskette Needed

1 <110> APPLICANT: Wagner, Hermann
 2 Lipford, Grayson
 3 Heeg, Klaus
 4 <120> TITLE OF INVENTION: Pharmaceutical Compositions Comprising a
 5 Polynucleotide and Optionally an Antigen Especially for
 6 Vaccination
 7 <130> FILE REFERENCE: C1041/7005 (AWS)
 8 <140> CURRENT APPLICATION NUMBER: US/09/355,254E
 9 <141> CURRENT FILING DATE: 2002-04-30
 10 <150> PRIOR APPLICATION NUMBER: PCT/EP98/00367
 11 <151> PRIOR FILING DATE: 1998-01-23
 12 <150> PRIOR APPLICATION NUMBER: EP 97101019.4
 13 <151> PRIOR FILING DATE: 1997-01-23
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 24 <210> SEQ ID NO: 2
 25 <211> LENGTH: 30
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 28 <400> SEQUENCE: 2
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 33 <212> TYPE: PRT
 34 <213> ORGANISM: Artificial Sequence
 35 <220> FEATURE:
 36 <223> OTHER INFORMATION: Synthetic oligopeptide
 37 <400> SEQUENCE: 3
 38 Ser Ile Ile Asn Phe Glu Lys Leu
 39 1 5
 41 <210> SEQ ID NO: 4
 42 <211> LENGTH: 20
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 44 <213> ORGANISM: Genomic DNA
 45 <400> SEQUENCE: 4
 46 tccatgacgt tcctgatgct
 48 <210> SEQ ID NO: 5

(global error)
 See item 10 on Error Summary
 24 sheet

30

20

RAW SEQUENCE LISTING

DATE: 07/03/2002

PATENT APPLICATION: US/09/355,254E

TIME: 12:17:28

Input Set : N:\Crf3\Refhold\I355254.raw

Output Set: N:\CRF3\07032002\I355254E.raw

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79 <213> ORGANISM: Genomic DNA
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86 <213> ORGANISM: Genomic DNA
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RAW SEQUENCE LISTING

DATE: 07/03/2002

PATENT APPLICATION: US/09/355,254E

TIME: 12:17:28

Input Set : N:\Crf3\Refhold\I355254.raw

Output Set: N:\CRF3\07032002\I355254E.raw

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162 <212> TYPE: DNA

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RAW SEQUENCE LISTING

DATE: 07/03/2002

PATENT APPLICATION: US/09/355,254E

TIME: 12:17:28

Input Set : N:\Crf3\Refhold\I355254.raw

Output Set: N:\CRF3\07032002\I355254E.raw

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206	<400>	SEQUENCE: 27	
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RAW SEQUENCE LISTING ERROR SUMMARY
PATENT APPLICATION: US/09/355,254E

DATE: 07/03/2002
TIME: 12:17:29

Input Set : N:\Crf3\Refhold\I355254.raw
Output Set: N:\CRF3\07032002\I355254E.raw

Invalid Line Length:

The rules require that a line not exceed 72 characters in length. This includes spaces.

Seq#:1; Line(s) 22
Seq#:2; Line(s) 29
Seq#:4; Line(s) 46
Seq#:5; Line(s) 53
Seq#:6; Line(s) 60
Seq#:7; Line(s) 67
Seq#:8; Line(s) 74
Seq#:9; Line(s) 81
Seq#:10; Line(s) 88
Seq#:11; Line(s) 95
Seq#:12; Line(s) 102
Seq#:13; Line(s) 109
Seq#:14; Line(s) 116
Seq#:15; Line(s) 123
Seq#:16; Line(s) 130
Seq#:17; Line(s) 137
Seq#:18; Line(s) 144
Seq#:19; Line(s) 151
Seq#:20; Line(s) 158
Seq#:21; Line(s) 165
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Seq#:23; Line(s) 179
Seq#:24; Line(s) 186
Seq#:25; Line(s) 193
Seq#:26; Line(s) 200
Seq#:27; Line(s) 207

VERIFICATION SUMMARY

DATE: 07/03/2002

PATENT APPLICATION: US/09/355,254E

TIME: 12:17:29

Input Set : N:\Crf3\R fhold\I355254.raw

Output Set: N:\CRF3\07032002\I355254E.raw